#### UNITED STATES DISTRICT COURT SOUTHERN DISTRICT OF TEXAS HOUSTON DIVISION

IN RE ALTA MESA	RESOURCES, INC
SECURITIES LITIG	ATION

Civil Action No. 4:19-cv-00957

CLASS PLAINTIFFS' REQUEST FOR JUDICIAL NOTICE AND MOTION FOR AN ORDER DEEMING CERTAIN ADMITTED FACTS ESTABLISHED

#### I. INTRODUCTION

Pursuant to Federal Rule of Civil Procedure 36 and Federal Rule of Evidence 201, Class Plaintiffs respectfully move the Court for an order: (1) taking judicial notice of the facts listed in Exhibit A; (2) deeming the facts listed in Exhibit B established by judicial admission; (3) deeming the facts listed in Exhibit C established by extrajudicial admission; (4) requiring the parties to submit an amended Joint Pretrial Order, adding the facts deemed established into the appropriate section thereof as admitted facts; and (5) precluding Defendants from contesting or contradicting, through evidence, testimony, or argument, the facts deemed established.<sup>1</sup>

#### II. ARGUMENT

### A. The Court Should Take Judicial Notice of the Facts Listed in Exhibit A

Under Rule 201, courts may take judicial notice of facts that are "not subject to reasonable dispute." Fed. R. Evid. 201(b). Judicial notice may be taken at any stage of a proceeding and, "[i]n a civil case, the court must instruct the jury to accept the noticed fact as conclusive." Fed. R. Evid. 201(d), (f).

First, Class Plaintiffs request judicial notice of the existence and contents of certain Alta Mesa SEC filings. *See* Ex. A at 1 (fact nos. 1-4). In the Fifth Circuit, SEC filings "may be properly judicially noticed to the extent that they are 'considered only for the purpose of determining what statements the documents contain, not to prove the truth of the documents'

Class Plaintiffs hereby certify, in accordance with Court Procedures § 6.C.2, that Plaintiffs met and conferred with Defendants, in accordance with Local Civil Rule 7.1(d), and the parties were unable to reach an agreement on the requested relief. Unless otherwise noted, citations are omitted and emphasis is added.

contents." *Petrobras Am., Inc. v. Samsung Heavy Indus. Co., Ltd.*, 9 F.4th 247, 255 (5th Cir. 2021) (quoting *Lovelace v. Software Spectrum Inc.*, 78 F.3d 1015, 1018 (5th Cir. 1996)).<sup>2</sup> Thus, the Court should take judicial notice of fact nos. 1-4 as listed in Exhibit A.

Second, Class Plaintiffs request judicial notice of the fact that Alta Mesa filed for bankruptcy on September 11, 2019. *See* Ex. A at 1 (fact no. 5). Under Fifth Circuit law, the fact that a document was filed with another court is judicially noticeable. *See, e.g., Ferguson v. Extraco Mortg. Co.*, 264 Fed. Appx. 351, 352 (5th Cir. 2007) (some alterations in original) ("[a] court may take judicial notice of 'a document filed in another court . . . to establish the fact of such litigation and related filings") (quoting *Taylor v. Charter Med. Corp.*, 162 F.3d 827, 830 (5th Cir. 1998)); *In re James*, 300 B.R. 890, 894 (Bankr. W.D. Tex. 2003) ("It has become a commonly-accepted practice to take 'judicial notice' of a court's records."). Thus, the Court should take judicial notice of fact no. 5 as listed in Exhibit A.

Finally, Class Plaintiffs request judicial notice of the daily closing prices and trading volumes of the Alta Mesa securities at issue in this action. See Ex. A at 1-2 (fact nos. 6-10). The Fifth Circuit has held that courts "can, of course, take judicial notice of stock prices." Schweitzer v. Inv. Comm. of Phillips 66 Sav. Plan, 960 F.3d 190, 193 n.3 (5th Cir. 2020) (quoting Catogas v. Cyberonics, Inc., 292 F.App'x 311, 316 (5th Cir. 2008)); In re Sec. Litig. BMC Software, Inc., 183 F. Supp. 2d 860, 882 (S.D. Tex. 2001) ("[t]he Court may also take judicial notice of stock prices"); In re CompUSA, Inc. Sec. Litig., 1995 WL 811960, at \*9

<sup>&</sup>lt;sup>2</sup> Indeed, the Court has already taken judicial notice of SEC filings in this action. See Dkt. 160 at 5 n.4 (taking judicial notice of all "SEC filings referenced in this opinion") (citing *Izadjoo v. Helix Energy Solutions Group, Inc.*, 237 F. Supp. 3d 492, 506 (S.D. Tex. 2017) (J. Rosenthal)).

n.14 (N.D. Tex. Oct. 30, 1995) ("[j]udicial notice of stock prices is proper").<sup>3</sup> Thus, the Court should take judicial notice of fact nos. 6-10 as listed in Exhibit A.

## B. The Court Should Deem the Facts Listed in Exhibit B Established by Judicial Admission

"The Fifth Circuit 'has long noted that factual statements in the pleadings constitute binding judicial admissions." *W.G. Yates & Sons Constr. Co. v. Hoch Assocs.*, *P.C.*, 2018 WL 315401, at \*1 (N.D. Miss. Jan. 5, 2018) (quoting *McCreary v. Richardson*, 738 F.3d 651, 659 n.5 (5th Cir. 2013) (collecting cases)). "Indeed, facts judicially admitted are facts established not only beyond the need of evidence to prove them, but beyond the power of evidence to controvert them." *Transamerica Life Ins. Co. v. Leclere*, 260 F. Supp. 3d 647, 650 (M.D. La. 2017) (quoting *Hill v. FTC*, 124 F.2d 104, 106 (5th Cir. 1941)).

The facts listed in Exhibit B were each, as noted therein, admitted in the pleadings.

See Ex. B. "Based on the clear state of the law in these regards," the Court should deem

<sup>&</sup>lt;sup>3</sup> Defendants do not dispute that judicial notice of fact no. 6 is proper. See Dkt. 838 at 11 ("Defendants do not dispute that judicial notice might be appropriate for certain basic facts, for example, that AMR securities traded on NASDAQ.").

<sup>&</sup>lt;sup>4</sup> See also Blankenship v. Buenger, 653 F. App'x 330, 335 (5th Cir. 2016) ("A judicial admission 'has the effect of withdrawing a fact from contention."); Johnson v. Hous.'s Rest., Inc., 167 F. App'x. 393, 395 (5th Cir. 2006); Martinez v. Bally's La., Inc., 244 F.3d 474, 476-77 (5th Cir. 2001) ("[a] judicial admission is conclusive, unless the court allows it to be withdrawn"); Davis v. A.G. Edwards & Sons, Inc., 823 F.2d 105, 107 (5th Cir. 1987) (alterations in original) ("[F]actual assertions in pleadings are . . . judicial admissions conclusively binding on the party that made them."); Sinclair Refin. Co. v. Tompkins, 117 F.2d 596, 598 (5th Cir. 1941) ("Pleadings are for the purpose of accurately stating the pleader's version of the case, and they bind unless withdrawn or altered by amendment."); Pullman Co. v. Bullard, 44 F.2d 347, 349 (5th Cir. 1930) (citing Jones v. Morehead, 68 U.S. 155, 165 (1863) ("It would be subversive of all sound practice, and tend largely to defeat the ends of justice, if the court should refuse to accept a fact as settled, which is distinctly alleged in the bill, and admitted in the answer.")).

these facts established and preclude Defendants from presenting evidence or argument contradicting or refuting them. *W.G. Yates & Sons*, 2018 WL 315401, at \*2.

## C. The Court Should Deem the Facts Listed in Exhibit C Established by Extrajudicial Admission

Facts admitted in response to a party's requests for admission served under Rule 36 are "conclusively established unless the court, on motion, permits the admission to be withdrawn or amended." Fed. R. Civ. P. 36(b). "In form and substance a Rule 36 admission is comparable to an admission in pleadings or a stipulation drafted by counsel for use at trial ...." Am. Auto. Ass'n (Inc.) v. AAA Legal Clinic of Jefferson Crooke, P.C., 930 F.2d 1117, 1120 (5th Cir. 1991). Thus, "Rule 36 allows parties to narrow the issues to be resolved at trial by identifying and eliminating those matters on which the parties agree." Id. at 1121. "Once a fact is formally admitted and thereby set aside in the discovery process, 'the party requesting an admission is entitled to rely on the conclusiveness' of it." Armour v. Knowles, 512 F.3d 147, 154 n.13 (5th Cir. 2007). Accordingly, courts enforce the binding effect of Rule 36 admissions by precluding parties from presenting evidence or argument to rebut or contradict those facts. Am. Auto. Ass'n, 930 F.2d at 1120 ("[a]n admission that is not withdrawn or amended cannot be rebutted").

The facts listed in Exhibit C were, as noted therein, admitted in Defendants' responses and objections to Class Plaintiffs' first set of requests for admissions. *See* Ex. C. Defendants have not filed motions to withdrawal or amend their admissions. Thus, the Court should

See also Fed. R. Civ. P. 36 advisory committee's note to 1970 Amendment ("The new provisions give an admission a conclusively binding effect.... Unless the party securing an admission can depend on its binding effect, he cannot safely avoid the expense of preparing to prove the very matters on which he has secured the admission, and the purpose of the rule is defeated.").

deem these facts established and preclude Defendants from presenting evidence or argument contradicting or refuting them.

## D. The Court Should Insert the Facts Deemed Established by Judicial and Extrajudicial Admissions into the Pretrial Order

"[U]nless [the facts deemed established by judicial and extrajudicial admission] are included in the pretrial order, [Class Plaintiffs] will be unable to rely on them at trial, as the pretrial order will amend and supersede all previous pleadings." *W.G. Yates & Sons*, 2018 WL 315401, at \*1. Thus, the Court should, as the court in *W.G. Yates & Sons* did there, order the facts listed in Exhibit B and Exhibit C be included in the Joint Pretrial Order as undisputed facts. *Id.*, at \*8.6

#### III. CONCLUSION

For the foregoing reasons, Class Plaintiffs respectfully request the Court issue an order: (1) taking judicial notice of the facts listed in Exhibit A to this motion; (2) deeming the facts listed in Exhibit B established by judicial admission; (3) deeming the facts listed in Exhibit C established by extrajudicial admission; (4) requiring the parties to submit an amended Joint Pretrial Order, adding the facts deemed established into the appropriate section thereof as admitted facts; and (5) precluding Defendants from contesting or contradicting, through evidence, testimony, or argument, the facts deemed established.

<sup>&</sup>lt;sup>6</sup> If the Court grants this motion, the parties will meet and confer as to the form of any submission to the jury of facts established as either admitted or subject to judicial notice.

DATED: October 11, 2024 Respectfully submitted,

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#### **CERTIFICATE OF SERVICE**

I certify that this motion has been served on counsel of record via the Court's ECF system on October 11, 2024.

s/ Andrew J. Enwistle
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# EXHIBIT A

	Judicially Noticeable Facts			
No.	Fact	Source		
1	On August 16, 2017, Alta Mesa filed a press release with the SEC with the following title: "Silver Run Acquisition Corporation II to Merge with Alta Mesa and Kingfisher Midstream to Form a Combined Company Valued at Approximately \$3.8 billion."	SEC EDGAR Database (available at https://www.sec.gov/Archives/edgar/data/1690769/00011046591 7052285/a17-20303_1ex99d1.htm)		
2	On January 19, 2018, Alta Mesa filed its Definitive Proxy Statement ("Proxy") with the SEC.	SEC EDGAR Database (available at https://www.sec.gov/Archives/edgar/data/1690769/00011931251 8014904/d510702ddefm14a.htm)		
3	The Proxy includes the following language: "Approval of the Business Combination Proposal, the NASDAQ Proposal, the LTIP Proposal and the Adjournment Proposal requires the affirmative vote (in person or by proxy) of the holders of a majority of the outstanding shares of Class A Common Stock and Class B Common Stock entitled to vote and actually cast thereon at the special meeting, voting as a single class."	<i>Id.</i> at 4.		
4	On February 9, 2018, Alta Mesa filed a press release with the SEC with the following title: "Silver Run Acquisition Corporation II Completes its Business Combination with Alta Mesa Holdings, LP and Kingfisher Midstream, LLC and Becomes Alta Mesa Resources, Inc."	SEC EDGAR Database (available at https://www.sec.gov/Archives/edgar/data/1690769/00011931251 8038229/d538792dex991.htm)		
5	On September 11, 2019, Alta Mesa filed a voluntary petition for reorganization under Chapter 11 of the United States Bankruptcy Code.	Dkt. 1, In re Alta Mesa Resources Inc., Alta Holdings, LP, et al., Case No. 4:19-BK-35133 (Bankr. S.D. Tex.).		
6	Throughout the Class Period, Alta Mesa common stock ("AMR stock") and warrants traded on the NASDAQ Stock Market.	See attached Appendices 1-3.		
7	From March 24, 2017 through February 9, 2018, Alta Mesa Units traded on the NASDAQ Stock Market.	Id.		

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	Judicially Noticeable Facts		
No.	Fact	Source	
8	Appendix 1 accurately reflects the daily closing price and volume of trades in AMR stock from August 15, 2017 through September 30, 2019.	See Appendix 1.	
9	Appendix 2 accurately reflects the daily closing price and volume of trades in Alta Mesa warrants from August 15, 2017 through September 30, 2019.	See Appendix 2.	
10	Appendix 3 accurately reflects the daily closing price and volume of trades in Alta Mesa units from March 24, 2017 through February 9, 2018.	See Appendix 3.	

# APPENDIX 1

#### **Alta Mesa Resources Inc**

#### **UNADJUSTED**

Ticker AMRQQ

 Start Date
 8/15/2017

 End Date
 9/30/2019

Dates	Volume <b>PX_VOLUN</b>	ΛF	Last Price PX_LAST	
	/15/2017	57,677	<b>_</b>	\$10.18
	/16/2017	6,756		\$10.19
	/17/2017	7,549,067		\$10.20
	/18/2017	509,042		\$10.21
	/21/2017	241,283		\$10.23
	/22/2017	305,874		\$10.23
	/23/2017	242,521		\$10.22
	/24/2017	2,151,684		\$10.20
	/25/2017	185,451		\$10.21
	/28/2017	126,665		\$10.23
	/29/2017	809,079		\$10.17
	/30/2017	47,919		\$10.18
	/31/2017	941,439		\$10.19
	9/1/2017	653,200		\$10.19
	9/5/2017	1,124,979		\$10.17
	9/6/2017	827,386		\$10.19
	9/7/2017	3,409,200		\$10.20
	9/8/2017	1,377,329		\$10.21
9	/11/2017	1,973,284		\$10.23
9	/12/2017	875,249		\$10.17
9	/13/2017	2,150,511		\$10.22
9	/14/2017	820,447		\$10.23
9	/15/2017	451,864		\$10.18
9	/18/2017	1,332,128		\$10.18
9	/19/2017	1,081,079		\$10.17
9	/20/2017	3,059,554		\$10.14
9	/21/2017	595,453		\$10.20
9	/22/2017	241,845		\$10.16
9	/25/2017	1,079,197		\$10.19
9	/26/2017	363,203		\$10.18
9	/27/2017	3,595,196		\$10.18
9	/28/2017	3,023,526		\$10.21
9	/29/2017	4,436,090		\$10.18
1	0/2/2017	4,174,083		\$10.18
1	0/3/2017	2,016,298		\$10.23
1	0/4/2017	1,724,886		\$10.28
1	0/5/2017	188,825		\$10.24
1	0/6/2017	297,046		\$10.21

10/9/2017	1,556,414	\$10.26
10/10/2017	511,672	\$10.25
10/11/2017	1,265,376	\$10.27
10/12/2017	1,845,864	\$10.24
10/13/2017	257,827	\$10.23
10/16/2017	804,164	\$10.14
10/17/2017	605,756	\$10.11
10/18/2017	1,812,655	\$10.09
10/19/2017	98,829	\$10.12
10/20/2017	139,074	\$10.11
10/23/2017	1,710,488	\$10.03
10/24/2017	151,421	\$10.03
10/25/2017	1,047,617	\$10.02
10/26/2017	1,358,616	\$9.99
10/27/2017	522,836	\$10.00
10/30/2017	56,072	\$9.98
10/31/2017	506,830	\$9.98
11/1/2017	734,568	\$9.99
11/2/2017	680,482	\$9.98
11/3/2017	467,278	\$9.98
11/6/2017	688,978	\$10.02
11/7/2017	184,104	\$10.03
11/8/2017	60,416	\$10.03
11/9/2017	162,986	\$9.98
11/10/2017	30,926	\$10.01
11/13/2017	74,942	\$9.98
11/14/2017	485,574	\$9.95
11/15/2017	207,260	\$9.95
11/16/2017	25,550	\$9.97
11/17/2017	264,829	\$9.91
11/20/2017	601,709	\$9.89
11/21/2017	104,038	\$9.95
11/22/2017	946,868	\$9.95
11/24/2017	69,844	\$9.96
11/27/2017	219,378	\$9.96
11/28/2017	257,972	\$9.95
11/29/2017	1,915,333 106,693	\$9.89
11/30/2017 12/1/2017	•	\$9.97
12/1/2017	189,299 358,098	\$9.95 \$9.88
12/4/2017	155,539	\$9.84
12/5/2017	1,864,751	\$9.64 \$9.79
12/0/2017	1,624,254	\$9.7 <i>9</i> \$9.77
12/7/2017	353,712	\$9.78
12/8/2017	285,497	\$9.76
12/11/2017	105,541	\$9.81
12/13/2017	88,008	\$9.81
,,,	23,300	<b>\$5.01</b>

12/14/2017	40,532	\$9.83
12/15/2017	218,273	\$9.86
12/18/2017	22,261	\$9.88
12/19/2017	92,606	\$9.82
12/20/2017	312,903	\$9.83
12/21/2017	23,467	\$9.83
12/22/2017	74,934	\$9.82
12/26/2017	31,937	\$9.87
12/27/2017	3,647	\$9.87
12/28/2017	40,319	\$9.91
12/29/2017	175,983	\$9.91
1/2/2018	469,845	\$9.94
1/3/2018	365,229	\$9.97
1/4/2018	1,325,577	\$9.94
1/5/2018	749,178	\$9.94
1/8/2018	221,951	\$9.97
1/9/2018	800,035	\$9.94
1/10/2018	411,577	\$9.95
1/11/2018	4,382,694	\$10.08
1/12/2018	4,444,429	\$10.11
1/16/2018	1,654,709	\$10.10
1/17/2018	3,408,211	\$10.11
1/18/2018	3,681,059	\$10.18
1/19/2018	2,650,870	\$10.18
1/22/2018	9,200,782	\$10.23
1/23/2018	8,970,287	\$10.25
1/24/2018	7,630,098	\$10.33
1/25/2018	8,865,946	\$10.55
1/26/2018	3,277,676	\$10.65
1/29/2018	5,426,814	\$10.73
1/30/2018	2,543,242	\$10.50
1/31/2018	4,877,498	\$10.22
2/1/2018	3,948,349	\$10.39
2/2/2018	2,243,582	\$10.17
2/5/2018	2,302,022	\$9.95
2/6/2018	3,591,552	\$9.98
2/7/2018	2,618,071	\$9.91
2/8/2018	2,563,887	\$9.46
2/9/2018	5,024,301	\$8.94
2/12/2018	4,341,207	\$8.63
2/13/2018	2,454,271	\$8.24
2/14/2018	4,912,657	\$8.34
2/15/2018	1,596,829	\$8.61
2/16/2018	1,167,584	\$8.68
2/20/2018	1,294,959	\$8.38
2/21/2018	3,615,175	\$8.14
2/22/2018	4,202,087	\$8.22

2/23/2018	3,007,758	\$8.22
2/26/2018	1,890,283	\$8.11
2/27/2018	3,475,060	\$7.50
2/28/2018	1,645,242	\$7.39
3/1/2018	703,944	\$7.35
3/2/2018	717,742	\$7.47
3/5/2018	1,487,041	\$7.50
3/6/2018	1,058,083	\$7.59
3/7/2018	1,402,146	\$7.54
3/8/2018	2,050,997	\$7.89
3/9/2018	1,767,562	\$8.16
3/12/2018	1,649,947	\$8.46
3/13/2018	1,942,949	\$8.42
3/14/2018	2,911,773	\$8.44
3/15/2018	2,673,123	\$8.31
3/16/2018	7,793,327	\$8.59
3/19/2018	2,611,762	\$8.31
3/20/2018	1,849,581	\$8.38
3/21/2018	1,397,274	\$8.53
3/22/2018	965,775	\$8.51
3/23/2018	790,580	\$8.47
3/26/2018	1,854,751	\$8.42
3/27/2018	1,818,622	\$8.31
3/28/2018	1,193,023	\$8.38
3/29/2018	893,856	\$8.00
4/2/2018	1,459,081	\$7.36
4/3/2018	1,395,049	\$7.06
4/4/2018	999,948	\$6.99
4/5/2018	2,288,609	\$7.30
4/6/2018	898,900	\$7.03
4/9/2018	691,289	\$7.01
4/10/2018	704,178	\$7.42
4/11/2018	858,607	\$7.34
4/12/2018	367,890	\$7.33
4/13/2018	451,100	\$7.29
4/16/2018	438,349	\$7.13
4/17/2018	708,369	\$7.26
4/18/2018	1,100,320	\$7.70
4/19/2018	802,855	\$7.57
4/20/2018	725,710	\$7.53
4/23/2018	928,317	\$7.58
4/24/2018	845,344	\$7.44
4/25/2018	680,431	\$7.62
4/26/2018	782,604	\$7.52
4/27/2018	552,721	\$7.42
4/30/2018	1,235,655	\$7.50
5/1/2018	465,460	\$7.43

5/2/2018	334,476	\$7.39
5/3/2018	832,131	\$7.28
5/4/2018	393,680	\$7.41
5/7/2018	618,920	\$7.64
5/8/2018	726,626	\$7.53
5/9/2018	1,285,980	\$7.70
5/10/2018	808,439	\$7.39
5/11/2018	769,918	\$7.19
5/14/2018	2,044,910	\$7.40
5/15/2018	1,329,206	\$7.48
5/16/2018	670,393	\$7.31
5/17/2018	1,117,318	\$7.57
5/18/2018	762,457	\$7.64
5/21/2018	877,484	\$7.58
5/22/2018	798,379	\$7.44
5/23/2018	912,358	\$7.37
5/24/2018	927,597	\$7.14
5/25/2018	574,243	\$7.01
5/29/2018	931,706	\$6.94
5/30/2018	1,714,620	\$6.70
5/31/2018	1,767,170	\$6.72
6/1/2018	572,920	\$6.67
6/4/2018	991,150	\$6.53
6/5/2018	818,677	\$6.72
6/6/2018	774,061	\$6.73
6/7/2018	773,664	\$7.00
6/8/2018	603,371	\$7.06
6/11/2018	855,151	\$7.31
6/12/2018	732,631	\$7.30
6/13/2018	930,336	\$7.34
6/14/2018	1,183,902	\$7.15
6/15/2018	3,110,495	\$7.00
6/18/2018	1,364,732	\$7.05
6/19/2018	1,531,658	\$7.25
6/20/2018	1,067,310	\$7.09
6/21/2018	1,395,833	\$6.84
6/22/2018	12,761,153	\$7.14
6/25/2018	785,748	\$6.97
6/26/2018	803,551	\$7.01
6/27/2018	2,498,792	\$6.92
6/28/2018	825,538	\$6.79
6/29/2018	1,509,636	\$6.81
7/2/2018	902,284	\$6.72
7/3/2018	624,368	\$6.74
7/5/2018	603,581	\$6.75
7/6/2018	791,875	\$6.79
7/9/2018	700,927	\$7.08

7/10/2018	1,355,187	\$7.01
7/11/2018	1,541,665	\$6.81
7/12/2018	663,307	\$6.90
7/13/2018	794,245	\$6.89
7/16/2018	578,699	\$6.75
7/17/2018	399,402	\$6.77
7/18/2018	441,809	\$6.92
7/19/2018	1,100,702	\$6.78
7/20/2018	998,145	\$6.70
7/23/2018	924,884	\$6.59
7/24/2018	1,447,652	\$6.25
7/25/2018	1,583,531	\$6.25
7/26/2018	1,307,988	\$6.25
7/27/2018	917,055	\$6.04
7/30/2018	1,524,964	\$6.01
7/31/2018	948,494	\$6.04
8/1/2018	871,182	\$5.90
8/2/2018	1,031,307	\$6.01
8/3/2018	440,909	\$6.02
8/6/2018	594,096	\$6.11
8/7/2018	449,491	\$6.22
8/8/2018	579,798	\$6.18
8/9/2018	730,026	\$6.14
8/10/2018	924,516	\$6.42
8/13/2018	1,251,658	\$6.08
8/14/2018	9,225,174	\$4.77
8/15/2018	8,706,145	\$4.34
8/16/2018	4,408,976	\$4.33
8/17/2018	3,930,565	\$4.35
8/20/2018	3,350,197	\$4.33
8/21/2018	3,631,506	\$4.44
8/22/2018	3,447,446	\$4.77
8/23/2018	1,932,257	\$4.74
8/24/2018	1,369,416	\$4.78
8/27/2018	985,407	\$4.78
8/28/2018	1,929,870	\$4.90
8/29/2018	1,475,739	\$4.91
8/30/2018	1,547,599	\$4.90
8/31/2018	708,167	\$4.74
9/4/2018	1,061,435	\$4.86
9/5/2018	1,114,985	\$4.87
9/6/2018	1,223,273	\$4.69
9/7/2018	1,095,556	\$4.68
9/10/2018	1,166,370	\$4.77
9/11/2018	1,061,979	\$4.86
9/12/2018	1,141,663	\$4.78
9/13/2018	921,425	\$4.65

9/14/2018	672,648	\$4.57
9/17/2018	776,740	\$4.48
9/18/2018	1,111,003	\$4.57
9/19/2018	1,333,214	\$4.57
9/20/2018	826,456	\$4.55
9/21/2018	3,270,372	\$4.44
9/24/2018	2,063,660	\$4.15
9/25/2018	1,757,421	\$4.16
9/26/2018	1,415,559	\$4.23
9/27/2018	1,093,316	\$4.15
9/28/2018	787,845	\$4.18
10/1/2018	717,117	\$4.21
10/2/2018	941,573	\$4.11
10/3/2018	658,858	\$4.17
10/4/2018	1,540,433	\$3.95
10/5/2018	1,466,151	\$3.90
10/8/2018	2,218,227	\$4.09
10/9/2018	1,755,798	\$4.18
10/10/2018	1,389,406	\$3.90
10/11/2018	1,473,653	\$3.76
10/12/2018	1,284,712	\$3.75
10/15/2018	634,093	\$3.70
10/16/2018	907,460	\$3.75
10/17/2018	1,276,287	\$3.66
10/18/2018	4,186,318	\$3.51
10/19/2018	2,044,171	\$3.52
10/22/2018	923,613	\$3.47
10/23/2018	1,617,012	\$3.40
10/24/2018	1,571,078	\$3.11
10/25/2018	1,395,083	\$3.17
10/26/2018	909,740	\$3.09
10/29/2018	1,168,913	\$2.88
10/30/2018	2,555,331	\$3.27
10/31/2018 11/1/2018	1,634,764 1,281,331	\$3.15 \$3.36
11/1/2018	950,756	\$3.35 \$3.35
11/5/2018	683,582	\$3.33 \$3.31
11/6/2018	1,189,545	\$3.31
11/7/2018	923,034	\$3.25
11/8/2018	665,784	\$3.32
11/9/2018	769,945	\$3.24
11/12/2018	1,039,687	\$3.02
11/13/2018	1,419,955	\$2.82
11/14/2018	5,186,430	\$2.40
11/15/2018	2,862,890	\$2.29
11/16/2018	2,988,886	\$2.18
11/19/2018	1,863,383	\$2.24
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11/20/2018	1,909,854	\$2.11
11/21/2018	831,086	\$2.13
11/23/2018	794,357	\$1.97
11/26/2018	2,121,838	\$2.08
11/27/2018	4,785,804	\$1.73
11/28/2018	2,145,023	\$1.67
11/29/2018	2,056,063	\$1.68
11/30/2018	2,653,961	\$1.53
12/3/2018	2,380,389	\$1.73
12/4/2018	1,814,439	\$1.61
12/6/2018	3,467,016	\$1.60
12/7/2018	952,612	\$1.58
12/10/2018	1,074,270	\$1.44
12/11/2018	682,549	\$1.42
12/12/2018	1,607,859	\$1.40
12/13/2018	1,953,341	\$1.32
12/14/2018	1,460,017	\$1.25
12/17/2018	2,808,006	\$1.04
12/18/2018	2,703,422	\$0.96
12/19/2018	2,695,013	\$0.92
12/20/2018	6,811,277	\$0.83
12/21/2018	12,039,315	\$0.83
12/24/2018	1,542,809	\$0.85
12/26/2018	2,568,859	\$0.90
12/27/2018	2,214,568	\$1.00
12/28/2018	2,709,951	\$1.00
12/31/2018	3,647,603	\$1.00
1/2/2019	1,142,883	\$1.11
1/3/2019	3,813,020	\$1.30
1/4/2019	3,352,759	\$1.28
1/7/2019	1,595,188	\$1.29
1/8/2019	1,043,064	\$1.30
1/9/2019	1,509,013	\$1.22
1/10/2019	1,708,172	\$1.20
1/11/2019	1,072,110	\$1.17
1/14/2019	724,528	\$1.18
1/15/2019	424,562	\$1.21
1/16/2019	438,472	\$1.21
1/17/2019	616,714	\$1.16
1/18/2019	569,376	\$1.17
1/22/2019	1,499,071	\$1.12
1/23/2019	1,744,820	\$1.05
1/24/2019	934,461	\$1.07
1/25/2019	715,514	\$1.09
1/28/2019	562,403	\$1.04
1/29/2019	2,109,639	\$1.00
1/30/2019	2,874,351	\$1.00

1/31/2019	741,615	\$0.96
2/1/2019	1,000,880	\$0.98
2/4/2019	713,538	\$0.96
2/5/2019	460,353	\$0.95
2/6/2019	418,149	\$0.91
2/7/2019	1,476,778	\$0.84
2/8/2019	1,445,531	\$0.77
2/11/2019	1,525,069	\$0.77
2/12/2019	729,082	\$0.82
2/13/2019	1,824,385	\$0.98
2/14/2019	942,698	\$1.00
2/15/2019	1,211,068	\$1.02
2/19/2019	898,393	\$1.01
2/20/2019	1,178,553	\$0.97
2/21/2019	1,349,447	\$0.92
2/22/2019	1,187,608	\$0.94
2/25/2019	1,160,398	\$0.91
2/26/2019	28,913,257	\$0.34
2/27/2019	15,743,410	\$0.23
2/28/2019	8,502,186	\$0.26
3/1/2019	4,247,307	\$0.27
3/4/2019	9,717,173	\$0.37
3/5/2019	8,696,312	\$0.34
3/6/2019	2,952,774	\$0.31
3/7/2019	3,100,827	\$0.30
3/8/2019	2,131,839	\$0.31
3/11/2019	2,355,622	\$0.30
3/12/2019	3,240,873	\$0.34
3/13/2019	2,964,752	\$0.37
3/14/2019	1,519,908	\$0.35
3/15/2019	4,284,773	\$0.28
3/18/2019	2,072,417	\$0.30
3/19/2019	1,734,929	\$0.29
3/20/2019	1,147,462	\$0.30
3/21/2019	1,424,329	\$0.32
3/22/2019	1,183,618	\$0.29
3/25/2019	1,077,417	\$0.29
3/26/2019	901,273	\$0.29
3/27/2019	828,726	\$0.29
3/28/2019	541,837	\$0.28
3/29/2019	1,147,305	\$0.27
4/1/2019	788,702	\$0.27
4/2/2019	1,156,537	\$0.24
4/3/2019	1,680,432	\$0.23
4/4/2019	1,012,232	\$0.22
4/5/2019	3,291,121	\$0.28
4/8/2019	1,620,789	\$0.26

4/9/2019	3,099,852	\$0.24
4/10/2019	4,431,236	\$0.28
4/11/2019	1,581,052	\$0.27
4/12/2019	2,023,045	\$0.25
4/15/2019	1,286,978	\$0.23
4/16/2019	4,200,343	\$0.20
4/17/2019	5,684,423	\$0.18
4/18/2019	1,808,228	\$0.19
4/22/2019	3,505,224	\$0.18
4/23/2019	18,830,749	\$0.23
4/24/2019	3,214,296	\$0.21
4/25/2019	3,249,685	\$0.21
4/26/2019	2,021,527	\$0.19
4/29/2019	1,429,741	\$0.19
4/30/2019	1,600,645	\$0.22
5/1/2019	1,401,204	\$0.22
5/2/2019		\$0.21
	1,220,722	
5/3/2019	2,496,368	\$0.23
5/6/2019	1,629,699	\$0.22
5/7/2019	1,013,855	\$0.21
5/8/2019	1,029,540	\$0.22
5/9/2019	2,676,586	\$0.22
5/10/2019	606,545	\$0.21
5/13/2019	1,956,488	\$0.20
5/14/2019	1,592,050	\$0.19
5/15/2019	1,088,896	\$0.19
5/16/2019	555,255	\$0.19
5/17/2019	1,733,030	\$0.18
5/20/2019	9,370,254	\$0.13
5/21/2019	1,883,142	\$0.13
5/22/2019	2,372,968	\$0.12
5/23/2019	5,553,433	\$0.12
5/24/2019	2,726,193	\$0.12
5/28/2019	4,301,490	\$0.14
5/29/2019	3,994,801	\$0.14
5/30/2019	2,421,763	\$0.14
5/31/2019	2,940,034	\$0.14
6/3/2019	2,343,540	\$0.15
6/4/2019	80,706,747	\$0.21
6/5/2019	14,485,966	\$0.18
6/6/2019	5,761,281	\$0.18
6/7/2019	3,173,366	\$0.18
6/10/2019	2,821,862	\$0.17
		\$0.17
6/11/2019	2,730,984	
6/12/2019	4,065,053	\$0.16
6/13/2019	2,407,945	\$0.16
6/14/2019	2,913,544	\$0.16

6/17/2019	1,854,634	\$0.15
6/18/2019	10,839,103	\$0.17
6/19/2019	3,276,138	\$0.18
6/20/2019	2,253,144	\$0.18
6/21/2019	2,232,440	\$0.18
6/24/2019	1,421,127	\$0.17
6/25/2019	2,227,801	\$0.16
6/26/2019	1,518,987	\$0.15
6/27/2019	2,665,972	\$0.15
6/28/2019	11,242,555	\$0.15
7/1/2019	2,149,321	\$0.14
7/2/2019	1,741,064	\$0.14
7/2/2013	1,135,071	\$0.14
7/5/2019	1,854,826	\$0.15
7/8/2019	19,298,003	\$0.13
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7/9/2019	4,524,622	\$0.16
7/10/2019	5,434,815	\$0.17
7/11/2019	1,974,574	\$0.18
7/12/2019	1,537,577	\$0.17
7/15/2019	2,864,648	\$0.18
7/16/2019	2,546,947	\$0.17
7/17/2019	1,735,768	\$0.16
7/18/2019	1,403,407	\$0.16
7/19/2019	789,914	\$0.15
7/22/2019	1,413,390	\$0.15
7/23/2019	1,531,524	\$0.15
7/24/2019	1,505,520	\$0.14
7/25/2019	1,709,122	\$0.14
7/26/2019	1,510,367	\$0.14
7/29/2019	1,361,987	\$0.14
7/30/2019	2,148,815	\$0.15
7/31/2019	2,550,142	\$0.15
8/1/2019	1,451,058	\$0.14
8/2/2019	1,431,266	\$0.14
8/5/2019	2,385,706	\$0.14
8/6/2019	1,105,259	\$0.14
8/7/2019	1,584,778	\$0.14
8/8/2019	1,335,081	\$0.15
8/9/2019	1,819,221	\$0.14
8/12/2019	4,349,047	\$0.15
8/13/2019	1,645,281	\$0.13
8/14/2019	2,285,641	\$0.14
8/15/2019	1,379,490	\$0.13
8/16/2019	2,128,278	\$0.12
8/19/2019	1,619,081	\$0.13
8/20/2019	1,104,361	\$0.12
8/21/2019	749,741	\$0.12

8/22/2019	951,773	\$0.12
8/23/2019	1,365,219	\$0.11
8/26/2019	1,816,730	\$0.12
8/27/2019	3,350,321	\$0.11
8/28/2019	5,388,003	\$0.09
8/29/2019	3,079,664	\$0.09
8/30/2019	2,642,792	\$0.10
9/3/2019	1,246,926	\$0.10
9/4/2019	2,132,534	\$0.09
9/5/2019	1,439,327	\$0.09
9/6/2019	2,146,150	\$0.09
9/9/2019	2,427,604	\$0.08
9/10/2019	4,021,800	\$0.07
9/11/2019	2,068,274	\$0.08
9/12/2019	5,896,736	\$0.08
9/13/2019	3,858,299	\$0.07
9/16/2019	18,343,163	\$0.11
9/17/2019	12,034,002	\$0.12
9/18/2019	8,203,648	\$0.08
9/19/2019	8,806,252	\$0.09
9/20/2019	4,693,985	\$0.09
9/23/2019	5,093,189	\$0.08
9/24/2019	16,000,708	\$0.03
9/25/2019	3,586,663	\$0.04
9/26/2019	2,010,961	\$0.03
9/27/2019	1,943,222	\$0.04
9/30/2019	1,252,557	\$0.06

# **APPENDIX 2**

#### **Alta Mesa Resources Inc**

#### **UNADJUSTED**

Ticker AMRWQ

 Start Date
 8/15/2017

 End Date
 9/30/2019

AMRWQ EQUITY	Volume	Last Price	
Dates	PX_VOLUME	PX_LAST	
8/15/2017	8,200	\$1.700	
8/16/2017	3,600		
8/17/2017		\$1.640	
8/18/2017	349,550		
8/21/2017	11,072	\$1.680	
8/22/2017	24,460		
8/23/2017		\$1.680	
8/24/2017		·	
8/25/2017	7,500		
8/28/2017	333,549		
8/29/2017	10,434		
8/30/2017	4,200		
8/31/2017	39,593	\$1.640	
9/1/2017	23,100		
9/5/2017			
9/6/2017	95,105	\$1.540	
9/7/2017	313,970	\$1.490	
9/8/2017	1,629,288	\$1.470	
9/11/2017	384,826	\$1.500	
9/12/2017	30,567	\$1.490	
9/13/2017	37,500	\$1.480	
9/14/2017	68,400	\$1.500	
9/15/2017	52,365	\$1.510	
9/18/2017	140,410	\$1.500	
9/19/2017	150,900	\$1.450	
9/20/2017	367,908	\$1.360	
9/21/2017	520,633	\$1.390	
9/22/2017	91,133	\$1.380	
9/25/2017	174,102	\$1.400	
9/26/2017	18,600	\$1.400	
9/27/2017	1,041,200	\$1.430	
9/28/2017	249,647	\$1.450	
9/29/2017	57,006	\$1.520	
10/2/2017	1,038,259	\$1.540	
10/3/2017	444,055	\$1.610	
10/4/2017	343,903	\$1.630	
10/5/2017	27,134	\$1.675	
10/6/2017	43,167	\$1.680	

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10/9/2017	189,671	\$1.750
10/10/2017	217,994	\$1.740
10/11/2017	179,694	\$1.790
10/12/2017	46,700	\$1.820
10/13/2017	105,093	\$1.840
10/16/2017	116,533	\$1.830
10/17/2017	408,549	\$1.810
10/18/2017	316,100	\$1.730
10/19/2017	5,900	\$1.760
10/20/2017	49,900	\$1.770
10/23/2017	319,171	\$1.600
10/23/2017	144,277	\$1.600
		\$1.599
10/25/2017	8,169	
10/26/2017	9,100	\$1.600
10/27/2017	226,317	\$1.600
10/30/2017	15,900	\$1.600
10/31/2017	114,217	\$1.630
11/1/2017	230,400	\$1.520
11/2/2017	48,600	\$1.511
11/3/2017	65,577	\$1.530
11/6/2017	222,486	\$1.680
11/7/2017	64,983	\$1.790
11/8/2017	24,123	\$1.740
11/9/2017	28,537	\$1.690
11/10/2017	19,100	\$1.698
11/13/2017	7,415	\$1.650
11/14/2017	46,002	\$1.600
11/15/2017	153,304	\$1.500
11/16/2017	99,300	\$1.497
11/17/2017	27,028	\$1.493
11/20/2017	37,500	\$1.400
11/21/2017	37,270	\$1.426
11/22/2017	16,200	\$1.450
11/24/2017	24,150	\$1.550
11/27/2017	130,559	\$1.490
11/28/2017	8,237	\$1.470
11/29/2017	15,531	\$1.440
11/30/2017	40,544	\$1.550
12/1/2017	5,500	\$1.546
12/4/2017	99,851	\$1.530
12/5/2017	10,200	\$1.530
12/6/2017	7,600	\$1.480
12/7/2017	9,000	\$1.488
12/8/2017	2,022	\$1.500
12/11/2017	3,200	\$1.620
12/12/2017	7,266	\$1.550
12/13/2017	88,500	\$1.550

12/14/2017	12 000	¢1 FF0
12/14/2017 12/15/2017	12,000	\$1.550 \$1.550
12/13/2017	15,000 13,170	\$1.500
12/19/2017	35,273	\$1.450
12/19/2017	123,962	\$1.414
12/20/2017	14,800	\$1.414
12/21/2017	1,650	\$1.430
12/26/2017	300	\$1.450
12/27/2017	64,700	\$1.450
12/27/2017	107,188	\$1.490
1/2/2018	532,324	\$1.430
1/3/2018	444,383	\$1.670
1/4/2018	368,504	\$1.700
1/5/2018	744,415	\$1.810
1/8/2018	289,961	\$1.900
1/9/2018	548,951	\$1.950
1/10/2018	431,453	\$2.000
1/11/2018	705,344	\$2.050
1/12/2018	630,690	\$2.080
1/16/2018	419,330	\$2.050
1/17/2018	271,034	\$2.000
1/18/2018	1,296,090	\$1.970
1/19/2018	105,081	\$2.015
1/22/2018	1,931,667	\$2.060
1/23/2018	1,216,367	\$2.115
1/24/2018	1,076,414	\$2.250
1/25/2018	2,886,664	\$2.350
1/26/2018	915,236	\$2.550
1/29/2018	1,724,881	\$2.700
1/30/2018	455,180	\$2.670
1/31/2018	722,344	\$2.600
2/1/2018	901,132	\$2.750
2/2/2018	250,753	\$2.610
2/5/2018	393,895	\$2.521
2/6/2018	345,505	\$2.485
2/7/2018	280,870	\$2.430
2/8/2018	396,023	\$2.290
2/9/2018	1,073,535	\$2.150
2/12/2018	365,755	\$2.150
2/13/2018	253,106	\$1.970
2/14/2018	437,369	\$1.970
2/15/2018	254,598	\$1.950
2/16/2018	199,434	\$1.930
2/20/2018	67,312	\$1.770
2/21/2018	342,850	\$1.650
2/22/2018	347,145	\$1.500
2/23/2018	102,772	\$1.700

2/26/2018	188,733	\$1.550
2/27/2018	722,155	\$1.380
2/28/2018	420,084	\$1.370
3/1/2018	73,110	\$1.330
3/2/2018	169,590	\$1.440
3/5/2018	61,739	\$1.500
3/6/2018	29,714	\$1.580
3/7/2018	30,316	\$1.610
3/8/2018	161,920	\$1.700
3/9/2018	20,860	\$1.850
3/12/2018	23,486	\$1.890
3/13/2018	22,927	\$1.920
3/14/2018	25,115	\$1.930
3/15/2018	68,641	\$1.920
3/16/2018	18,641	\$1.837
3/19/2018	165,535	\$1.790
3/20/2018	52,746	\$1.800
3/21/2018	126,427	\$1.861
3/22/2018	102,610	\$1.840
3/23/2018	50,326	\$1.800
3/26/2018	378,415	\$1.770
3/27/2018	840,758	\$1.685
3/28/2018	270,766	\$1.700
3/29/2018	356,795	\$1.550
4/2/2018	296,594	\$1.390
4/3/2018	211,019	\$1.290
4/4/2018	215,493	\$1.290
4/5/2018	160,452	\$1.500
4/6/2018	70,362	\$1.400
4/9/2018	10,430	\$1.450
4/10/2018	248,278	\$1.550
4/11/2018	89,362	\$1.500
4/12/2018	96,561	\$1.550
4/13/2018	94,867	\$1.490
4/16/2018	37,614	\$1.440
4/17/2018	108,334	\$1.490
4/18/2018	173,805	\$1.600
4/19/2018	24,333	\$1.580
4/20/2018	12,148	\$1.590
4/23/2018	44,289	\$1.590
4/24/2018	32,567	\$1.580
4/25/2018	53,992	\$1.580
4/26/2018	32,481	\$1.560
4/27/2018	13,060	\$1.570
4/30/2018	70,226	\$1.580
5/1/2018	11,602	\$1.560
5/2/2018	3,936	\$1.570

5/3/2018	5,588	\$1.540
5/4/2018	29,648	\$1.540
5/7/2018	171,153	\$1.640
5/8/2018	7,204	\$1.610
5/9/2018	132,084	\$1.560
5/10/2018	72,427	\$1.490
5/11/2018	58,347	\$1.490
5/14/2018	91,048	\$1.490
5/15/2018	69,813	\$1.440
5/16/2018	31,704	\$1.500
5/17/2018	351,878	\$1.580
5/18/2018	233,913	\$1.650
5/21/2018	832,737	\$1.590
5/22/2018	12,846	\$1.580
5/23/2018	260,756	\$1.570
5/24/2018	35,350	\$1.510
5/25/2018	81,235	\$1.470
5/29/2018	107,426	\$1.470
5/30/2018	27,140	\$1.400
5/31/2018	124,436	\$1.450
6/1/2018	27,938	\$1.490
6/4/2018	76,827	\$1.460
6/5/2018	17,344	\$1.460
6/6/2018	11,116	\$1.400
6/7/2018	58,302	\$1.430
6/8/2018	16,604	\$1.420
6/11/2018	138,795	\$1.453
6/12/2018	26,578	\$1.449
6/13/2018	106,792	\$1.440
6/14/2018	8,337	\$1.440
6/15/2018	35,006	\$1.380
6/18/2018	163,626	\$1.350
6/19/2018	35,313	\$1.390
6/20/2018	155,868	\$1.340
6/21/2018	45,805	\$1.310
6/22/2018	10,619	\$1.440
6/25/2018	4,217	\$1.430
6/26/2018	35,531	\$1.420
6/27/2018	249,978	\$1.400
6/28/2018	56,883	\$1.390
6/29/2018	71,781	\$1.400
7/2/2018		#N/A N/A
7/3/2018	39,183	\$1.390
7/5/2018	21,262	\$1.350
7/6/2018	95,254	\$1.450
7/9/2018	190,528	\$1.500
7/10/2018	310,583	\$1.440

7/11/2018	56,962	\$1.440
7/12/2018	5,627	\$1.449
7/13/2018	23,851	\$1.450
7/16/2018	78,330	\$1.440
7/17/2018	163,345	\$1.400
7/18/2018	9,937	\$1.397
7/19/2018	32,499	\$1.400
7/20/2018	43,211	\$1.300
7/23/2018	17,022	\$1.250
7/24/2018	165,701	\$1.150
7/25/2018	52,960	\$1.210
7/26/2018	65,557	\$1.250
7/27/2018	42,027	\$1.170
7/30/2018	35,917	\$1.190
7/31/2018	102,311	\$1.190
8/1/2018	7,410	\$1.169
8/2/2018	367,614	\$1.040
8/3/2018	34,340	\$1.022
8/6/2018	28,547	\$1.040
8/7/2018	56,878	\$1.050
8/8/2018	1,643	\$1.050
8/9/2018	159,428	\$1.040
8/10/2018	395,197	\$1.180
8/13/2018	139,014	\$1.100
8/14/2018	1,351,211	\$0.700
8/15/2018	497,411	\$0.590
8/16/2018	175,186	\$0.600
8/17/2018	226,588	\$0.577
8/20/2018	38,315	\$0.600
8/21/2018	58,872	\$0.600
8/22/2018	545,299	\$0.643
8/23/2018	97,021	\$0.600
8/24/2018	68,666	\$0.650
8/27/2018	220,465	\$0.600
8/28/2018	98,703	\$0.600
8/29/2018	69,221	\$0.600
8/30/2018	8,306	\$0.600
8/31/2018	81,665	\$0.563
9/4/2018	111,250	\$0.600
9/5/2018	145,951	\$0.620
9/6/2018	105,856	\$0.600
9/7/2018	24,317	\$0.580
9/10/2018	94,540	\$0.580
9/11/2018	169,899	\$0.580
9/12/2018	128,982	\$0.550
9/13/2018	679,890	\$0.600
9/14/2018	6,486	\$0.599

9/17/2018	31,599	\$0.580
9/18/2018	557,109	\$0.570
9/19/2018	2,451	\$0.565
9/20/2018	782,561	\$0.549
9/21/2018	92,790	\$0.549
9/24/2018	125,107	\$0.500
9/25/2018	211,059	\$0.480
9/26/2018	48,200	\$0.490
9/27/2018	2,078	\$0.500
9/28/2018	31,169	\$0.500
10/1/2018	1,109,719	\$0.519
10/2/2018	13,001	\$0.520
10/3/2018	13,032	\$0.550
10/4/2018	15,457	\$0.509
10/5/2018	168,333	\$0.540
10/8/2018	118,000	\$0.520
10/9/2018	81,429	\$0.498
10/10/2018	235,370	\$0.470
10/11/2018	66,726	\$0.440
10/12/2018	12,070	\$0.431
10/15/2018	110,239	\$0.440
10/16/2018	22,401	\$0.480
10/17/2018	40,910	\$0.460
10/18/2018	1,380	\$0.480
10/19/2018	230,772	\$0.470
10/22/2018	66,919	\$0.440
10/23/2018	131,854	\$0.429
10/24/2018	73,974	\$0.440
10/25/2018	35,712	\$0.450
10/26/2018	2,000	\$0.450
10/29/2018	372,813	\$0.440
10/30/2018	25,767	\$0.440
10/31/2018	58,600	\$0.440
11/1/2018	312,044	\$0.400
11/2/2018	9,499	\$0.410
11/5/2018	161,473	\$0.400
11/6/2018	87,649	\$0.390
11/7/2018	161,731	\$0.400
11/8/2018	23,476	\$0.400
11/9/2018	17,400	\$0.380
11/12/2018	54,991	\$0.385
11/13/2018	62,232	\$0.340
11/14/2018	231,906	\$0.270
11/15/2018	33,700	\$0.245
11/16/2018	240,795	\$0.220
11/19/2018	74,087	\$0.190
11/20/2018	18,334	\$0.180

11/21/2018	20,378	\$0.180
11/23/2018	37,501	\$0.170
11/26/2018	30,462	\$0.160
11/27/2018	364,380	\$0.156
11/28/2018	252,051	\$0.130
11/29/2018	1,156,445	\$0.092
11/30/2018	1,423,677	\$0.149
12/3/2018	377,872	\$0.100
12/4/2018	378,378	\$0.100
12/6/2018	663,829	\$0.130
12/7/2018	29,156	\$0.100
12/10/2018	961,635	\$0.084
12/11/2018	519,864	\$0.100
12/12/2018	290,641	\$0.110
12/13/2018	94,020	\$0.107
12/14/2018	92,968	\$0.108
12/17/2018	678,912	\$0.095
12/18/2018	845,223	\$0.079
12/19/2018	1,027,666	\$0.090
12/20/2018	496,752	\$0.093
12/21/2018	501,084	\$0.090
12/26/2018	69,331	\$0.086
12/27/2018	363,917	\$0.093
12/28/2018	353,095	\$0.080
12/31/2018	979,289	\$0.070
1/2/2019	350,553	\$0.074
1/3/2019	30,266	\$0.080
1/4/2019	331,428	\$0.088
1/7/2019	121,800	\$0.086
1/8/2019	213,888	\$0.075
1/9/2019	131,500	\$0.075
1/10/2019	148,700	\$0.075
1/11/2019	188,500	\$0.080
1/14/2019	10,100	\$0.085
1/15/2019	49,500	\$0.075
1/16/2019	24,632	\$0.083
1/17/2019	300,269	\$0.088
1/18/2019	133,800	\$0.085
1/22/2019	497,380	\$0.100
1/23/2019	241,100	\$0.100
1/24/2019	17,450	\$0.095
1/25/2019	342,900	\$0.098
1/28/2019	243,676	\$0.110
1/29/2019	165,033	\$0.110
1/30/2019	427,699	\$0.109
1/31/2019	66,101	\$0.106
2/1/2019	130,100	\$0.113

2/4/2019	251,571	\$0.113
2/5/2019	1,526	\$0.113
2/6/2019	3,001	\$0.113
2/7/2019	164,200	\$0.109
2/8/2019	322,832	\$0.101
2/11/2019	360,634	\$0.090
2/12/2019	7,001	\$0.096
2/13/2019	13,003	\$0.100
2/14/2019	125,267	\$0.101
2/15/2019	14,002	\$0.099
2/19/2019	15,660	\$0.100
2/20/2019	4,501	\$0.100
2/21/2019	8,000	\$0.100
2/22/2019	451	\$0.105
2/25/2019	123,667	\$0.107
2/26/2019	785,528	\$0.045
2/27/2019	663,964	\$0.031
2/28/2019	151,870	\$0.030
3/1/2019	89,000	\$0.030
3/4/2019	166,361	\$0.040
3/5/2019	38,667	\$0.045
3/6/2019	19,178	\$0.033
3/7/2019	77,367	\$0.050
3/8/2019	37,000	\$0.040
3/11/2019	160,686	\$0.038
3/12/2019	149,983	\$0.040
3/13/2019	30,633	\$0.048
3/14/2019	3,500	\$0.031
3/15/2019	1,433	\$0.030
3/18/2019	251	\$0.025
3/19/2019	121,447	\$0.040
3/20/2019	500	\$0.040
3/21/2019	6,300	\$0.040
3/22/2019	7,101	\$0.040
3/25/2019	10,332	\$0.040
3/26/2019	3,101	\$0.039
3/27/2019	7,467	\$0.038
3/28/2019	7,901	\$0.035
3/29/2019	56,191	\$0.037
4/1/2019	13,101	\$0.037
4/2/2019	1,566	\$0.036
4/3/2019	26,291	\$0.020
4/4/2019	27,214	\$0.032
4/5/2019	85,877	\$0.030
4/8/2019	28,350	\$0.030
4/9/2019	17,206	\$0.030
4/10/2019	43,244	\$0.021

4/11/2019	52,521	\$0.027
4/12/2019	1,650	\$0.021
4/15/2019	28,933	\$0.033
4/16/2019	291,166	\$0.015
4/17/2019	34,834	\$0.022
4/18/2019	40,401	\$0.015
4/22/2019	30,234	\$0.021
4/23/2019	7,053	\$0.021
4/25/2019	19,055	\$0.012
4/26/2019	141,121	\$0.006
4/29/2019	40,701	\$0.017
4/30/2019	9,004	\$0.020
5/1/2019	6,266	\$0.011
5/2/2019	3,703	\$0.009
5/3/2019	35,904	\$0.025
5/6/2019	34,070	\$0.025
5/7/2019	402	\$0.020
5/8/2019	72,525	\$0.011
5/9/2019	648	\$0.011
5/10/2019	3,944,392	\$0.004
5/13/2019	307,649	\$0.009
5/14/2019	249,795	\$0.010
5/15/2019	191,302	\$0.006
5/16/2019	789,899	\$0.004
5/17/2019	88,912	\$0.004
5/20/2019	250,518	\$0.003
5/21/2019	209,923	\$0.002
5/22/2019	26,600	\$0.003
5/23/2019	556,120	\$0.005
5/24/2019	107,631	\$0.008
5/28/2019	71,276	\$0.008
5/29/2019	217,601	\$0.007
5/30/2019	53,472	\$0.010
5/31/2019	13,696	\$0.007
6/3/2019	200	\$0.007
6/4/2019	844,340	\$0.011
6/5/2019	331,592	\$0.005
6/6/2019	157,966	\$0.007
6/7/2019	80,280	\$0.006
6/10/2019	74,302	\$0.006
6/11/2019	79,201	\$0.010
6/12/2019	480	\$0.010
6/13/2019	617	\$0.010
6/14/2019	81 #N/A N/A	
6/17/2019	16,621	\$0.007
6/18/2019	33,709	\$0.010
6/19/2019	10,923	\$0.010

6/20/2019	28,431	\$0.010
6/21/2019	2,676	\$0.011
6/24/2019	22,101	\$0.010
6/25/2019	67,305	\$0.010
6/26/2019	28,695	\$0.010
6/27/2019	290,426	\$0.006
6/28/2019	36,289	\$0.007
7/1/2019	69,123	\$0.004
7/1/2019	4,158	\$0.009
7/2/2019		#N/A N/A
7/5/2019	24,500	\$0.008
	·	
7/8/2019		#N/A N/A
7/9/2019	50,094	\$0.003
7/10/2019	25,100	\$0.003
7/11/2019	5,190	\$0.007
7/12/2019		#N/A N/A
7/16/2019	73,310	\$0.007
7/17/2019	19,892	\$0.006
7/18/2019	100	#N/A N/A
7/19/2019	6,701	\$0.006
7/22/2019	27,410	\$0.005
7/23/2019	31,300	\$0.003
7/24/2019	84,790	\$0.006
7/25/2019	100	#N/A N/A
7/26/2019	100	#N/A N/A
7/29/2019	223,456	\$0.007
7/30/2019	1,300	\$0.007
7/31/2019	345,342	\$0.005
8/1/2019	945,390	\$0.004
8/2/2019	1,999	\$0.004
8/5/2019	25,100	\$0.007
8/6/2019	149,309	\$0.007
8/7/2019	15,342	\$0.010
8/8/2019	300,400	\$0.010
8/9/2019	318,626	\$0.009
8/12/2019	13,144	\$0.009
8/13/2019	15,421	\$0.009
8/14/2019	429,427	\$0.008
8/15/2019	637,544	\$0.004
8/16/2019	7,733	\$0.004
8/10/2019	512,968	\$0.005
8/20/2019	300	\$0.003
8/21/2019	323,248	\$0.004
8/22/2019	934	\$0.003
8/23/2019	60,150	\$0.007
8/26/2019	568,001	\$0.005
8/27/2019	6,100	\$0.003

8/28/2019	4,266	\$0.005	
8/29/2019	1 #N/A N/A		
8/30/2019	73,421	\$0.003	
9/3/2019	4,394	\$0.003	
9/4/2019	183,707	\$0.003	
9/5/2019	100,129	\$0.004	
9/6/2019	59,978	\$0.002	
9/9/2019	1,046,556	\$0.002	
9/10/2019	141	\$0.002	
9/11/2019	100	\$0.002	
9/12/2019	7,207,597	\$0.004	
9/13/2019	238,954	\$0.001	
9/16/2019	1,508,224	\$0.005	
9/17/2019	40,090	\$0.004	
9/18/2019	600,730	\$0.002	
9/19/2019	655,244	\$0.003	
9/20/2019	31,988	\$0.003	
9/23/2019	37,801	\$0.002	
9/24/2019	63,199	\$0.002	
9/25/2019	871,605	\$0.002	
9/26/2019	10,000	\$0.002	
9/27/2019	180,715	\$0.003	
9/30/2019	188,900	\$0.002	

# **APPENDIX 3**

#### **Alta Mesa Resources Inc**

#### **UNADJUSTED**

Ticker SRUNU

 Start Date
 3/24/2017

 End Date
 3/1/2018

SRUNU EQUITY	Volume	Last Price	
Dates 3/24/2017	PX_VOLUME 10,110,670	<b>PX_LAST</b> \$10.36	
3/27/2017			
3/28/2017		\$10.45	
3/29/2017		\$10.44	
3/30/2017		\$10.46	
3/31/2017		\$10.43	
4/3/2017	·	\$10.44	
4/4/2017		\$10.41	
4/5/2017	170,208	\$10.44	
4/6/2017	35,112	\$10.42	
4/7/2017	287,925	\$10.42	
4/10/2017	44,100	\$10.40	
4/11/2017	31,888	\$10.40	
4/12/2017	46,416	\$10.40	
4/13/2017	208,502	\$10.39	
4/17/2017	33,976	\$10.40	
4/18/2017	57,981	\$10.40	
4/19/2017	133,323	\$10.35	
4/20/2017	78,811	\$10.37	
4/21/2017	71,225	\$10.37	
4/24/2017	1,523,039	\$10.30	
4/25/2017	107,127	\$10.32	
4/26/2017	120,745	\$10.33	
4/27/2017		\$10.26	
4/28/2017	152,053	\$10.33	
5/1/2017	981,440	\$10.34	
5/2/2017	17,220	\$10.25	
5/3/2017	44,313	\$10.27	
5/4/2017		\$10.32	
5/5/2017			
5/8/2017		\$10.38	
5/9/2017	•	\$10.39	
5/10/2017		\$10.34	
5/11/2017		\$10.39	
5/12/2017		\$10.33	
5/15/2017		\$10.32	
5/16/2017		•	
5/17/2017	70,216	\$10.35	

5/18/2017	67,138	\$10.35
5/19/2017	44,378	\$10.35
5/22/2017	50,514	\$10.34
5/23/2017	9,076	\$10.34
5/24/2017	18,955	\$10.39
5/25/2017	34,320	\$10.33
5/26/2017	35,819	\$10.35
5/30/2017	26,930	\$10.35
5/31/2017	223,220	\$10.50
6/1/2017	118,174	\$10.45
6/2/2017	141,333	\$10.43
6/5/2017	140,899	\$10.41
6/6/2017	8,805	\$10.43
6/7/2017	108,772	\$10.44
6/8/2017	2,192	\$10.41
6/9/2017	34,997	\$10.43
6/12/2017	25,900	\$10.43
6/13/2017	45,808	\$10.42
6/14/2017	117,281	\$10.43
6/15/2017	24,607	\$10.42
6/16/2017	5,420	\$10.43
6/19/2017	61,231	\$10.40
6/20/2017	49,400	\$10.40
6/21/2017	249,584	\$10.60
6/22/2017	271,293	\$10.75
6/23/2017	924,637	\$10.90
6/26/2017	26,200	\$10.80
6/27/2017	6,549	\$10.85
6/28/2017	300	\$10.80
6/29/2017	3,481	\$10.74
6/30/2017	260	\$10.68
7/3/2017	2,085	\$10.65
7/5/2017	17,121	\$10.64
7/6/2017	5,410	\$10.72
7/7/2017	7,194	\$10.74
7/10/2017	26,065	\$10.70
7/11/2017	19,203	\$10.65
7/12/2017	5,228	\$10.71
7/13/2017	3,063	\$10.75
7/14/2017	5,716	\$10.71
7/17/2017	10,434	\$10.77
7/18/2017	25,142	\$10.75
7/19/2017	12,590	\$10.69
7/20/2017	37,683	\$10.74
7/21/2017	18,660	\$10.70
7/24/2017	6,900	\$10.70
7/25/2017	175,322	\$10.65

7/26/2017	5,951	\$10.70
7/27/2017	4,876	\$10.71
7/28/2017	3,513	\$10.65
7/31/2017	59,817	\$10.65
8/1/2017	557	\$10.66
8/2/2017	27,740	\$10.74
8/3/2017	69,376	\$10.78
8/4/2017	14,489	\$10.79
8/7/2017	900	\$10.75
8/8/2017	9,482	\$10.75
8/9/2017	16,352	\$10.65
8/10/2017	4,512	\$10.69
8/11/2017	55,289	\$10.65
8/14/2017	16,187	\$10.73
8/15/2017	31,467	\$10.72
8/16/2017	4,971	\$10.72
8/17/2017	4,106,227	\$10.75
8/18/2017	422,701	\$10.74
8/21/2017	1,606	\$10.78
8/22/2017	96,923	\$10.74
8/23/2017	111,215	\$10.75
8/24/2017	113,963	\$10.69
8/25/2017	4,844	\$10.74
8/28/2017	30,216	\$10.74
8/29/2017	122,893	\$10.66
8/30/2017	10,053	\$10.65
8/31/2017	203,361	\$10.61
9/1/2017	55,006	\$10.63
9/5/2017	82,325	\$10.62
9/6/2017	99,869	\$10.60
9/7/2017	58,601	\$10.70
9/8/2017	350	\$10.70
9/11/2017	156,739	\$10.75
9/12/2017	137,906	\$10.65
9/13/2017	387,096	\$10.69
9/14/2017 9/15/2017	155,576	\$10.70 \$10.65
	668,285	\$10.65 \$10.67
9/18/2017 9/19/2017	317,961	\$10.66
	997,368	\$10.63
9/20/2017 9/21/2017	2,263,052	\$10.63 \$10.64
	6,841 304,456	\$10.63
9/22/2017 9/25/2017	207,385	\$10.63 \$10.64
9/26/2017	176,627	\$10.65
9/26/2017	3,017,601	\$10.65 \$10.65
9/28/2017	202,096	\$10.65
9/29/2017	5,192	\$10.03
2/23/201/	5,192	\$10.72

10/2/2017	9,851	\$10.70
10/3/2017	251,532	\$10.75
10/4/2017	12,215	\$10.78
10/5/2017	25,528	\$10.80
10/6/2017	145,590	\$10.80
10/9/2017	124,795	\$10.81
10/10/2017	60,177	\$10.80
10/11/2017	268,783	\$10.86
10/12/2017	204,758	\$10.87
10/13/2017	21,236	\$10.85
10/16/2017	134,191	\$10.81
10/17/2017	201,304	\$10.78
10/18/2017	245,234	\$10.66
10/19/2017	42,594	\$10.70
10/20/2017	23,859	\$10.70
10/23/2017	12,070	\$10.52
10/24/2017	4,942	\$10.57
10/25/2017	4,606	\$10.57
10/26/2017	7,676	\$10.50
10/27/2017	17,522	\$10.66
10/30/2017	38,930	\$10.65
10/31/2017	44,817	\$10.60
11/1/2017	15,279	\$10.47
11/2/2017	105,972	\$10.58
11/3/2017	162,365	\$10.64
11/6/2017	1,868,925	\$10.59
11/7/2017	38,476	\$10.60
11/8/2017	111,045	\$10.58
11/9/2017	201	\$10.58
11/10/2017	53,637	\$10.55
11/13/2017	22,286	\$10.61
11/14/2017	21,306	\$10.51
11/15/2017	18,730	\$10.42
11/16/2017	4,403	\$10.41
11/17/2017	1,800	\$10.49
11/20/2017	1,230	\$10.36
11/21/2017	153,202	\$10.37
11/22/2017	1,693	\$10.39
11/24/2017	2,561	\$10.44
11/27/2017	101	\$10.43
11/28/2017	19,632	\$10.43
11/29/2017	29,266	\$10.37
11/30/2017	11,436	\$10.42
12/1/2017	6,301	\$10.44 \$10.43
12/5/2017	1,112	\$10.43
12/6/2017	6,542	\$10.36
12/7/2017	306	\$10.35

12/8/2017	5,200	\$10.30
12/11/2017	77,374	\$10.44
12/12/2017	3,000	\$10.37
12/14/2017	51,034	\$10.40
12/15/2017	48,689	\$10.44
12/18/2017	4,458	\$10.40
12/19/2017	23,318	\$10.29
12/20/2017	8,996	\$10.30
12/21/2017	466	\$10.38
12/26/2017	1,633	\$10.35
12/27/2017	7,054	\$10.30
12/28/2017	4,645	\$10.40
12/29/2017	300,408	\$10.46
1/2/2018	15,087	\$10.55
1/3/2018	22,985	\$10.58
1/4/2018	94,934	\$10.60
1/5/2018	161,617	\$10.51
1/8/2018	92,518	\$10.55
1/9/2018	738,461	\$10.60
1/10/2018	198,764	\$10.60
1/11/2018	957,434	\$10.83
1/12/2018	1,527,762	\$10.83
1/16/2018	413,308	\$10.71
1/17/2018	129,231	\$10.78
1/18/2018	23,470	\$10.87
1/19/2018	3,904	\$10.84
1/22/2018	200,320	\$10.90
1/23/2018	30,276	\$11.00
1/24/2018	32,152	\$11.15
1/25/2018	173,079	\$11.32
1/26/2018	220,298	\$11.55
1/29/2018	542,693	\$11.75
1/30/2018	22,701	\$11.34
1/31/2018	260,780	\$11.00
2/1/2018	24,315	\$11.48
2/2/2018	13,488	\$11.08
2/5/2018	14,350	\$10.99
2/6/2018	1,041	\$10.86
2/7/2018	585,679	\$11.50
2/8/2018	257,223	\$10.26
2/9/2018	83,127	\$9.60

# **EXHIBIT B**

	Facts Established Through Judicial Admission			
No.	Fact	Source		
11	Following the Business Combination, Riverstone appointed three directors to Alta Mesa's Board of Directors – Defendant James T. Hackett, David M. Leuschen, and Pierre Lapeyre, Jr.	Dkt. 69 at ¶52 ("Following the Business Combination, Riverstone appointed three directors to Alta Mesa's Board of Directors – Defendants Hackett, Leuschen and Lapeyre."); Dkt. 171 at ¶52 ("Dimitrievich admits the allegations in the last sentence of Paragraph 52."); and Dkt. 172 at ¶52 ("HPS admits the allegations in the last sentence of Paragraph 52.").		
12	In January 2016, AMH's subsidiary, Oklahoma Energy Acquisitions, LP ("Oklahoma Energy"), entered into a joint development agreement with BCE-STACK Development LLC, a fund advised by Defendant Bayou City Energy, to pay for a portion of AMH's drilling operations and to allow AMH to accelerate development of its STACK acreage (the "Bayou City JDA"). The Bayou City JDA provided for the development of two tranches of 20 wells each (40 total wells) and was subsequently amended on December 31, 2016 to add a third and fourth tranche of wells, making the agreement govern a total of 80 wells.	Dkt. 69 at ¶82; Dkt. 171 at ¶82 ("Dimitrievich admits the allegations in paragraph 82."); and Dkt. 172 ("HPS admits the allegations in paragraph 82.").		
13	Under the agreement, Bayou City committed to fund 100% of AMH's working interest share up to the maximum of \$3.2 million in drilling and complete costs per well (\$64 million per tranche) in exchange for an 80% working interest in each well. Bayou City's working interest would be incrementally reduced once Bayou City achieved certain internal rate of return benchmarks for each tranche of wells. AMH was responsible for any drilling and completion costs exceeding the \$3.2 million per well limit.	Dkt. 69 at ¶83; Dkt. 171 at ¶83 ("Dimitrievich admits the allegations in paragraph 83."); and Dkt. 172 ("HPS admits the allegations in paragraph 83.").		

# EXHIBIT C [Filed Under Seal]